

#3/A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

IMHOF et al.

Group Art Unit: Unassigned

Application No. 09/524,531

Examiner: Unassigned

Filed: March 13, 2000

For: Vascular Adhesion Molecules etc.

* * * * *

July 13, 2000

RESPONSE

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Sir:

In response to the Notice to Comply with Sequence Listing Requirements,
enclosed are paper and computer readable copies of the Sequence Listing. The
paper and computer readable copies are the same and contain no new matter.

Early and favorable consideration of the application is respectfully requested.

Respectfully submitted,

PILLSBURY MADISON & SUTRO

07/17/2000 WKOROMA 00000004 09524531

05 FC:115

110.00 OP

By



Ann S. Hobbs, Ph.D.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)
For Design, Provisional, or Utility Applications

PATENT
APPLICATION

COMPLETION Under
Rule 53(f)

In re PATENT APPLICATION of

Inventor(s): IMHOF et al.

Appln. No.:

09

524,531

Atty.Dkt.

PM

264679

LWY09/CM/6

Series Code ↑

Serial No. ↑

M#

Client Ref

Filed: March 13, 2000

Title: Vascular Adhesion Molecules etc.



Hon. Asst. Commissioner of Patents
Washington, DC 20231

Date: July 13, 2000

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration attached. ☒ Original ☐ Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or

"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
 - a. ☐ Abstract
 - b. # pages of Specification (only spec. & claims)
 - c. ☐ Drawing(s) No of Sheets
 - ☐ Fig(s).

4. ☐ Letter filing formal drawing attached.
5. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

7. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Europe

Application No.	Filing Date	Application N .	Filing Date
(1) 99200746.8	March 11, 1999	(2)	
(3)		(4)	
(5)		(6)	

Completion Under Rule 53(b)

9. _____ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / _____ filed on _____
10. ☐ Attached: _____ (No.) Verified Statement(s) establishing "small entity" status under Rules 9 & 27.
11. ☒ Attached: Sequence Listing in Paper and Computer Readable Form
12. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
13. Basic Filing Fee Design Application				\$310/\$155		106/26
Not Design Application				\$690/\$345	+690	101/201
14. Total Effective Claims	21	minus 20 =	1	x \$18/\$9	+18	103/203
15. Independent Claims	3	minus 3 =	0	x \$78/\$39	+0	102/202
16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)				\$260/\$130	+260	104/204
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+130	105/205
18. FILING FEE ENCLOSED =					\$1098	
19. Original due date: July 12, 2000						
20. Petition is hereby made to extend the original due date to				(1 mo)	\$110/\$55 =	+110
cover the date this response is filed for which the requisite fee				(2mos)	\$380/\$190 =	115/215
is attached				(3mos)	\$870/\$435 =	116/216
				(4mos)	\$1360/\$680 =	117/217
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee					\$130	+0
22. If "assignment" box 5 is X'd, add recording fee.					\$40	+40
23. Petition Fee for					\$130	+0
24. TOTAL FEE ENCLOSED =						\$1248

Our Deposit Account No. 03-3975

Our Order No.

11422

264679

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Madison & Sutro LLP
Intellectual Property Group

1100 New York Avenue, NW
 Ninth Floor
 Washington, DC 20005-3918
 Tel: (202) 861-3000
 Atty/Sec: ASH/maf

By Atty: Ann S. HobbsReg. No. 36830Sig: 

Fax: (202) 822-0944

Tel: (202) 861-3063

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

FORMALITIES LETTER



OC00000005114104

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/524,531	03/13/2000	Beat Albert Imhof	PM 264679

Pillsbury Madison & Sutro LLP
Intellectual Property Group
1100 New York Avenue
Ninth Floor
Washington, DC 20005-3918



Date Mailed: 05/12/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$278.
 - \$18 for 1 total claims over 20.
 - \$260 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):
 - A copy of the "Sequence Listing" in computer readable form has not been submitted as required under 37 CFR 1.821(e).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-1123
- For CRF Submission Help, call (703) 308-4212
- PatentIn Software Program Support
 - For Technical Assistance, call (703) 287-0200
 - To Purchase PatentIn Software, call (703) 306-2600

- The balance due by applicant is \$ 1098.

07/17/2000 WKOROMA 00000004 09524531
 01 FC:101 690.00
 02 FC:105 130.00
 03 FC:104 260.00
 04 FC:103 18.00

A copy of this notice MUST be returned with the reply.

D. Bell T-4

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE